

Submandibular Gland Transplantation vs Minor Salivary Glands Transplantation for Treatment of Dry Eye: A Retrospective Cohort Study



JIA-ZENG SU, BANG ZHENG, ZHEN WANG, XIAO-JING LIU, ZHI-GANG CAI, LEI ZHANG, XIN PENG, JUN WU, XIN-HUA LIU, LAN LV, AND GUANG-YAN YU

• **PURPOSE:** To compare submandibular gland (SMG) transplantation with minor salivary gland (MSG) transplantation for the treatment of different dry eye diseases (DED).

• **DESIGN:** Retrospective clinical cohort study.

• **METHODS:** A total of 73 refractory DED eyes were divided into 3 groups. Group A comprised 35 end-stage DED eyes that underwent SMG transplantation. Group B comprised 20 end-stage DED eyes with MSG transplantation. Group C comprised 18 non-end-stage DED eyes with MSG transplantation. Schirmer test (ST), tear break-up time (TBUT), corneal fluorescein staining (FL), and best-corrected visual acuity (BCVA) were measured before and after surgery.

• **RESULTS:** Hospital length of stay, length of operation, and hospital fee were significantly higher in group A than in group B or C. Eyes in group A showed the most severe DED disease, with preoperative ST, TBUT, FL, and BCVA of 0.36 mm per 5 minutes, 0.03 seconds, 10.97, and 0.11, respectively, which improved significantly to 20.23 mm per 5 minutes, 1.74 seconds, 7.58, and 0.2 at >2-year follow-up. Group B had similar baseline data, and significant but limited improvement only in the ST (0.55 mm per 5 minutes to 3.79 mm per 5 minutes) and FL (11.10 to 9.58) after the operation. Group C had better baseline ST, TBUT, FL, and BCVA of 0.89 mm per 5 min, 3.49 seconds, 1.83, and 0.81, respectively, which

improved significantly (except for BCVA) to 9.35 mm per 5 min, 9.08 s, 0.53, and 0.89 after MSG transplantation.

• **CONCLUSION:** SMG transplantation could be recommended to treat end-stage refractory DED. MSG transplantation may provide satisfying results for refractory DED with relatively less severe impairment of the eye. (Am J Ophthalmol 2022;241: 238–247. © 2022 The Author(s). Published by Elsevier Inc. This is an open access article under the CC BY-NC-ND license (<http://creativecommons.org/licenses/by-nc-nd/4.0/>))

BECAUSE OF ITS MULTIFACTORIAL ETIOLOGY, THE management of dry eye disease (DED) is complicated. Recently, the Tear Film and Ocular Surface Society's Dry Eye Workshop II (TFOS DEWS II) proposed an evidence-based, multi-staged management algorithm to determine the most appropriate DED treatment for each patient. The treatment is recommended based on subjective and objective severity measurements. Health education, environmental modifications, ocular lubricants, tear conservation, and physical therapies are recommended first. When the above options are insufficient, prescription drugs, autologous/allogeneic serum eye drops, and therapeutic contact lenses are recommended. Surgeries are usually given for refractory DED.¹ Two surgical modalities of salivary gland transplantation, microvascular submandibular gland (SMG) transplantation and minor salivary gland (MSG) transplantation, are listed as the last recommendations when other treatments are inadequate.¹ However, further instruction on choosing between these 2 surgical approaches is still vague.¹⁻²⁰

Both SMG transplantation²⁻¹³ and MSG transplantation¹⁴⁻²⁰ provide spontaneous, continuous, and endogenous lubrication with saliva as a tear substitute. Both treatments can improve severe symptoms and/or signs of dry eye, including Schirmer test score (ST), tear break-up time (TBUT), and some other ocular surface features. However, despite the above-listed similarities, these methods differ in surgical complexity or technical requirement, surgical trauma, secretory patterns, amounts of lubrication,

Accepted for publication May 19, 2022.

From the Department of Oral and Maxillofacial Surgery (J.-Z.S., X.-J.L., Z.-G.C., X.P., G.-Y.Y.), Peking University School and Hospital of Stomatology, National Clinical Research Center for Oral Diseases, National Engineering Laboratory for Digital and Material Technology of Stomatology, Beijing, P. R. China; School of Public Health (B.Z.), Imperial College London, London, UK; Department of Stomatology (Z.W.), Capital Medical University Affiliated Beijing Friendship Hospital, Beijing, P. R. China; Department of Ophthalmology (J.W.), Affiliated Beijing Bo Ai Hospital, Capital University of Medical Science, Beijing, P. R. China; The First People's Hospital of Jinzhong (X.-H.L.), Shanxi Province, P. R. China; Department of Ophthalmology (L.L.), Affiliated Beijing Tong Ren Hospital, Capital University of Medical Science, Beijing, P. R. China

Inquiries to Guang-Yan Yu, Peking University School of Stomatology, Beijing, P. R. China; or Lan Lv, Department of Ophthalmology, Affiliated Beijing Tong Ren Hospital, Capital University of Medical Science, Beijing 100730, P. R. China; Tel: 86-10-82195992; e-mail: tryklvlan@126.com, gyyu@263.net

and surgical complications. Besides, there is individual heterogeneity among these refractory DED patients, and the etiology and severity of the disease in these patients may vary. Taking into consideration significant differences between the 2 surgeries and the heterogeneity among the refractory DED patients, when salivary gland transplantation is indicated according to the current algorithm, an evidence-based choice between SMG transplantation and MSG transplantation is needed.

In this study, we compared the treatment effect of SMG transplantation and MSG transplantation in different refractory DED patients. We also analyzed surgical complications and treatment costs. The current study provides evidence-based indications for SMG transplantation and MSG transplantation for the treatment of severe refractory DED.

METHODS

• **PATIENTS:** Consecutive patients diagnosed with DED who underwent salivary gland transplantation at Peking University School of Stomatology between June 2010 and October 2018 were included in this retrospective clinical cohort study. The study was approved by the Ethics Committee of the Peking University School of Stomatology (PKUSSIRB - 202163043) and designed and carried out in full accordance with the World Medical Association Declaration of Helsinki. All patients provided informed consent to join the study.

• **INDICATIONS AND CONTRAINDICATIONS:** Indications for surgeries included persistently pronounced symptoms of dry eye and failure of other previous ophthalmologic treatments, along with an ST value of <2 mm, a TBUT value of <5 seconds, and positive fluorescence staining of the cornea during ophthalmologic evaluation. Contraindications were Sjogren syndrome or obvious symptoms of xerostomia.^{8,13}

• **GROUPING:** Patients comprised 3 groups (groups A, B, and C) based on their surgical modalities, the severity of DED, and the etiologies of the DED (Table 1). Group A comprised DED patients secondary to cicatrizing conjunctivitis and meeting the level 4 grade of dry eye severity grading scheme in the 2007 International Dry Eye Workshop (DEWS) criteria²¹ who underwent SMG transplantation. Group B comprised level 4 DED patients secondary to cicatrizing conjunctivitis who underwent MSG transplantation. Group C comprised the DED patients with secondary to non-cicatrizing conjunctivitis who did not meet the level-4 grade in the DEWS criteria. The surgical modality was selected mainly according to the patient's intention after surgical techniques had been explained in detail.

The causes of cicatrizing conjunctivitis included Stevens–Johnson syndrome (SJS), mucous membrane

pemphigoid (MMP), and graft-versus-host disease. Based on the DEWS dry eye severity grading scheme,²¹ the inclusion criteria for level 4 DED were as follows: (1) severe constant discomfort of the eye and (2) constant visual diminution affecting the lifestyle; (3) corneal fluorescein staining (FL) score >6 in a standardized scoring scheme²² with a maximum score of 12; (4) TBUT ≤ 1 second; and (5) ST ≤ 2 mm per 5 min.

• **TRANSPLANTATION PROCEDURES FOR MICROVASCULAR SUBMANDIBULAR AND MINOR SALIVARY GLANDS:** SMG transplantation was performed as previously described¹³ (Figure 1). In brief, under general anesthesia, the SMG, including the branches of facial artery and vein and Wharton duct, was harvested from the submandibular triangle and transferred to the temporal region. The branches of the vessels from or going into the SMG had to be harvested together. Next, the facial artery and facial veins were subjected to anastomosis with the superficial temporal artery and vein, respectively. After subcutaneously passing through a tunnel prepared to the upper lateral conjunctival fornix, the distal end of the Wharton duct was sutured in the upper lateral conjunctival fold as an opening.

The MSG transplantation was performed as previously described (Figure 2).²³ Before surgery, the minor salivary gland flow rate (MSGFR) of 3 sites (upper labial, lower labial, and buccal mucosa) was measured and calculated as previously described.^{23,24} The lower or upper labial glands with higher flow rates were used as the donor sites. In cases in which the flow rate of upper and lower labial glands was much lower than that of the buccal glands, the latter was used as a donor. Under general anesthesia, the graft was obtained from the donor bed and composed of salivary lobules and the covering mucosa. The recipient beds were prepared in the upper and lower bulbar conjunctiva and near the fornix. The graft's mucosa was covered by 8-0 Vicryl absorbable sutures and anchored to the underlying orbital septum with 1 interrupted suture passing through the donor tissue to achieve good contact between the graft and the graft underlying recipient bed. No other compression methods were used.

• **POSTOPERATIVE TREATMENTS:** Antibiotics were given for 4 to 5 days after the operation. Patients who underwent SMG transplantation paid special attention to protecting the anastomosis site from being pressed during the first 2 postoperative weeks. Also, capsaicin and carbachol were administered for 3 months after the operation to prevent Wharton duct obstruction.²⁵

• **DATA COLLECTION AND FOLLOW-UP:** The medical records were reviewed for all patients, including demographic features, detailed disease history, hospital length of stay (LOS), length of operation (LOO), and hospital fee. The hospital fee was the total expense charged by the hospital during hospitalization, which included all of the medical

TABLE 1. Baseline Data of the 3 Study Groups

Characteristics		Group A	Group B	Group C	P Value	
					A vs B	B vs C
Grouping methods	DED etiology	Cicatrizing conjunctivitis	Cicatrizing conjunctivitis	Non-cicatrizing conjunctivitis		
	DED severity level	Level 4	Level 4	Level <4		
	Surgical modality	SMG transplantation	MSG transplantation	MSG transplantation		
Numbers	Patients	28	19	14		
	Eyes	35	20	18		
	Patients with bilateral surgeries	7 (25%)	1 (5.3%)	4 (28.6%)	.119	.138
Demographic features	Age (y)	29.6 ± 15.1	34.4 ± 10.5	28.2 ± 4.2	.211	.022
	Sex (female/male)	23/12	12/8	4/14	.672	.019
Disease history	Detailed etiology	SJS: 35	SJS: 17 GVHD: 3	AC: 13 Unknown: 5		
	Disease duration (y)	11.3 ± 11.6	10.5 ± 9.1	5.1 ± 3.2	.781	.020
Hospital parameters	LOS (day)	13.0 ± 1.1	7.8 ± 0.7	7.5 ± 0.7	<.001	.287
	LOO (h)	6.1 ± 0.6	1.9 ± 0.3	1.8 ± 0.3	<.001	.310
	Hospital fee (RMB)	28486.2 ± 2740.0	16006.7 ± 2217.6	16845.6 ± 3617.6	<.001	.389
Donor parameters (MSG)	Donor sites	—	Lower lip: 14 Upper lip: 5 Buccal: 1	Lower lip: 13 Upper lip: 5 Buccal: 0		.627
	Sizes (cm ²)	—	8.8 ± 2.5	6.6 ± 1.6		.003
	MSGFR (mg/min)	—	1.8 ± 0.6	3.2 ± 1.1		<.001
	Size × MSGFR	—	15.9 ± 6.5	21.1 ± 8.2		.045

AC = acute conjunctivitis; DED = dry eye disease; GVHD = graft versus host disease; LOO = length of operation; LOS = hospital length hospital stay; MSG = minor salivary glands; MSGFR = salivary flow rate of minor salivary glands; RMB = Renminbi; SJS = Stevens–Johnson syndrome; SMG = submandibular gland.

P values for group A vs B and group B vs C were based on the χ^2 test for categorical variables (except for Fisher exact test for bilateral surgery) and the independent-samples *t* test for continuous variables.

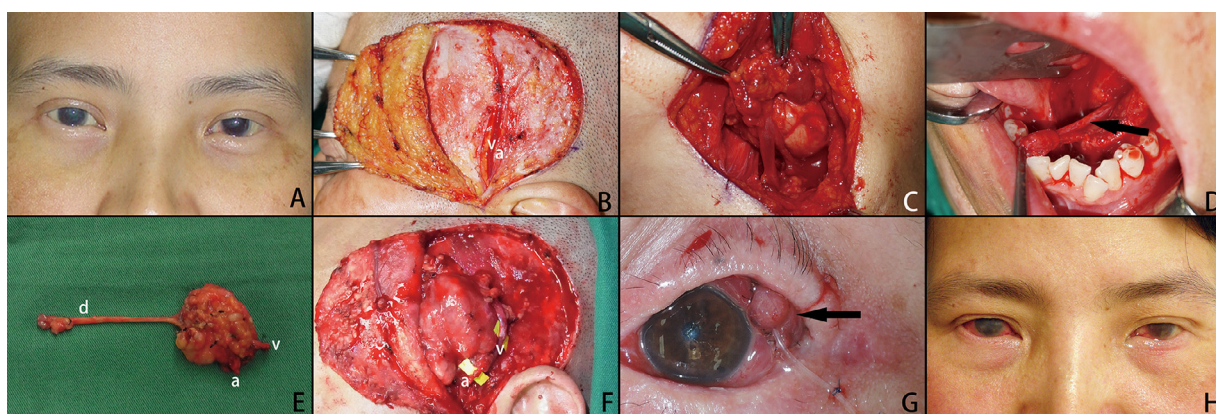


FIGURE 1. Procedures and treatment effect of submandibular gland transplantation. A: Bilateral dry eye disease secondary to Stevens–Johnson syndrome. B: Incision in the temporal region and dissection of the superficial temporal vessels (left side). C: Dissection of the submandibular gland. D: Dissection of the Wharton duct. E: Donor, including the submandibular gland, facial vessels, and Wharton duct. F: Anastomosis of the vessels (arrows). G: Reopening of the Wharton duct in the eye (arrow). A nylon tube was inserted and left in the duct for 7 days. H: Follow-up image 9 years after the operation. Compared with the untreated right eye, the left eye had plenty of lubrication and better ocular surface condition.

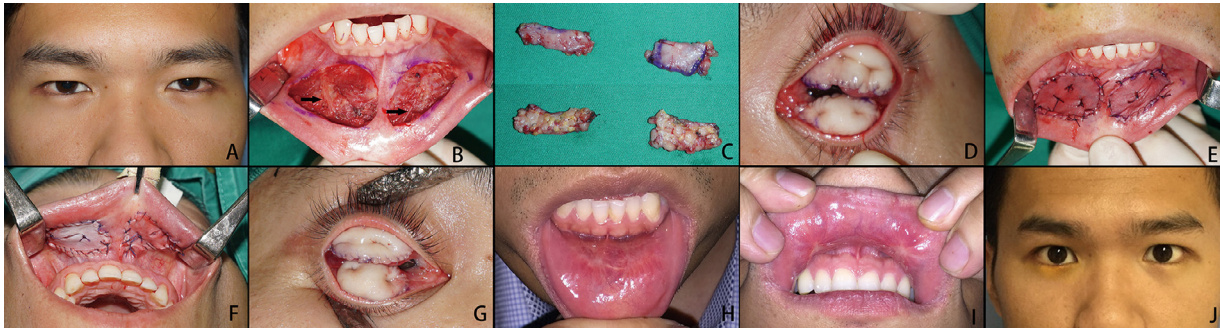


FIGURE 2. Procedures and treatment effect of minor salivary glands transplantation. **A:** Bilateral dry eye disease secondary to adenoviral conjunctivitis. **B:** Grafts were harvested from the lower lip above the muscles, and branches of the trigeminal nerve (arrows) were preserved. **C:** Two pieces of salivary lobules with the covering mucosa. **D:** Grafts were transplanted and fixed in the left eye. **E:** Wounds of the lip were repaired with an acellular dermal matrix. **F, G:** Right eye was treated 6 months later with the grafts from the upper lip. **H-J:** Follow-up images 4 years after the second operation. The incisions of the lips healed well, and the dry eye symptoms were relieved in both eyes.

items (eg, the fees for surgery, anesthesia, medicine, medical materials, etc). These data were acquired directly from the hospital information system.

Patients were followed up for 4.3, 5.8, and 3.7 years in groups A, B, and C, respectively. Patient questionnaires and the objective ocular surface disease parameters, including ST, FL, and TBUT, as well as best-corrected visual acuity (BCVA) at baseline, 3 months postoperatively, and the last time of follow-up, were collected.

The questionnaire included 2 items: “My dry eye symptoms were relieved after treatment” and “I am satisfied with the long-term treatment effect.” Each item was analyzed using a 5-point Likert-type scale, ranging from “completely disagree” (1 point) to “completely agree” (5 points). A score of 4 or 5 was regarded as “subjective relief of DED symptoms” or “satisfaction with the surgery.” Patients were asked to complete the questionnaire independently. For those with poor vision (ie, inability to read), the items were read aloud by a nonrelated person (ie, by someone other than the doctor or the patient’s relatives).

The patients rested 30 minutes, during which they did not engage in any kind of physical activity or glandular stimulation before the ophthalmologic examination so as to avoid the influence of local hyperthermia and physical activity on the secretion of transplanted SMGs. The same ophthalmologist conducted the procedures following the guideline of the Chinese Medical Association expert consensus on clinical diagnosis and treatment of dry eye²² in clinics with a temperature of 23°C and relative humidity of 40%.

BCVA was first tested, followed by FL, TBUT, and ST. A stopwatch was used for timing. BCVA measurement was applied with spectacle or contact lens correction. The standard logarithmic visual acuity chart (National Standard of the People’s Republic of China GB11533-2011) was used. Test chart background luminance was ≥ 200 cd/m. In the FL test, the corneal surface was divided into 4 quadrants: up-

per nasal, lower nasal, upper temporal, and lower temporal, which were individually scored. The fluorescein score was analyzed as follows: 0 = no staining, 1 = minimal staining, 2 = mild/moderate staining, and 3 = severe staining. The sum of the above indicators was taken as the final FL score. In the TBUT test, patients were asked to blink 3 times after staining with the fluorescein strip. The time from the last eye-opening to the appearance of the first dry spot was measured 3 times. The mean value was the TBUT score. ST was performed for 5 minutes using Whatman No. 41 paper strips (35 × 5 mm; Tianjin Jingming New Technological Development Co, Ltd) without topical anesthesia. The length of the moistened paper strips was used as the score.

• **STATISTICAL ANALYSIS:** Considering the clinical interpretation, the baseline characteristics and longitudinal clinical outcomes of groups A and C were separately compared with that of group B. The comparisons between groups A and B indicated the differences in surgical modalities (SMG transplantation vs MSG transplantation), whereas the comparisons between groups C and B revealed the differences in DED severity levels (level 2/3 DED vs level 4 DED). For baseline characteristics, continuous variables were compared between groups using an independent-samples *t* test, whereas categorical variables were compared using the χ^2 test or Fisher exact test. For comparing hospital parameters and subjective long-term follow-up data (relief of symptoms and overall satisfaction), general linear regression and logistic regression (or exact logistic regression to deal with separation) were respectively used with adjustment for age and sex to account for possible confounding bias.

To evaluate the objective treatment effects within each group, the values of 4 objective ocular surface disease parameters at 3 months postoperation and the long-term follow-up (>2 years) were compared with the baseline (pre-operative) values using a paired-samples *t* test. Differences

in treatment effects between groups (group A vs B, and group B vs C) were then tested using a linear mixed-effects model, with objective clinical parameters as dependent variables. Random intercept per eye was used to account for individual-level differences in clinical parameters. Fixed effects included time variables (3 time points) and patient group, with adjustment for age and sex. Interaction between time and the patient group was tested to determine the between-group differences in the improvements of these clinical parameters over time.

All analyses were conducted using SPSS 20.0 (SPSS Inc) and Stata 14.0 (StataCorp LLC). *P* values of $<.05$ (2-tailed) were considered statistically significant.

RESULTS

- **PATIENTS:** There were 28 patients in group A, 19 patients in group B, and 14 patients in group C. All patients were diagnosed with bilateral DED. In group A and group C, 25% and 28.6% of patients received bilateral surgeries, respectively, compared to 5.3% in group B. In total, 73 eyes were included in the analysis, and the data collections and analysis were based on “eye.” In addition, there was no difference in age and sex between participants in group A and group B ($P > 0.05$), whereas participants in group C were slightly younger. Also, there were more male patients in group C compared to group B ($P < .05$) (Table 1).

- **BASELINE DATA OF DISEASE AND DONORS:** The DED in all 35 eyes in group A was caused by Stevens–Johnson syndrome (SJS), which was also the etiology of DED for most eyes (17 eyes, 85%) in group B. The remaining 3 eyes (15%) in group B were affected by graft-versus-host disease. For the majority of eyes (12 eyes, 66.7%) in group C, the disease was caused by adenoviral conjunctivitis, whereas for the remaining 6 eyes (33.3%) in group C, the etiology of DED was not clear. The mean disease duration in group A and B was more than 10 years, whereas in group C, it was 5.1 ± 3.2 years; the difference was statistically significant ($P < .05$) (Table 1). Eyes from both group A and group B sustained the most severe damage of the lacrimal gland and ocular surface, with the mean values of ST, TBUT, FL, and BCVA of 0.36 ± 0.65 , 0.03 ± 0.17 , 10.97 ± 1.94 , 0.11 ± 0.14 , and 0.55 ± 1.05 , 0.05 ± 0.22 , 11.10 ± 1.65 , 0.15 ± 0.24 , respectively (all *P* values for between-group difference $>.05$). The mean values of the ST, TBUT, FL, and BCVA in group C were 0.89 ± 1.02 , 3.49 ± 1.36 , 1.83 ± 1.76 , and 0.81 ± 0.19 , respectively, showing less severity compared to values in group B (*P* values $<.05$ except for ST) (Table 2).

The donors of MSG were harvested from similar sites in groups B and C. The donor secretory functions of group C were better than in group B, as the MSGFR of group C was significantly higher ($P < .05$) (Table 1). Although the donor sizes of group C were smaller than those of group B,

the total flow rate of the grafts (size \times MSGFR) was still significantly higher in group C ($P < .05$) (Table 1).

- **TREATMENT COSTS AND COMPLICATIONS:** The surgical trauma and hospital costs were significantly higher in group A and were similar between groups B and C, as reflected by LOS, LOO, and hospital fees (Table 1). These results did not significantly change after adjusting for age and sex. In group A, surgery was not successful for 2 eyes (5.7%), and the grafts were lost because of vascular thrombosis after transplantation. Thirteen eyes (39.4%) in group A developed intermittent corneal epithelial microcystic edema, and patients complained of blurred vision. The hypotonic saliva elicited corneal edema when postoperative epiphora occurred, which is a relatively common complication of SMG transplantation.^{12,13} Epiphora were managed by surgical reduction of graft, topical atropine gel, and botulinum toxin injection. Ranula and Wharton duct obstruction were reported in 1 eye (3%), respectively, and were surgically cured.

All transplantations were successful in groups B and C. In 1 eye (5%) in group B, only, partial graft developed necrosis early after the operation. The residual tissue showed good healing after local debridement. Partial grafts in the lower lid were visible and led to cosmetic problems after the operation in 2 eyes (10%) from group B and in 1 eye (5.6%) from group C. Local transient hypaesthesia of the lower lip was reported in 7 eyes (35%) from group B and in 5 eyes (27.8%) from group C, showing spontaneous remission within 6 months. The complication rates did not differ between the 2 groups ($P > .05$).

- **INTRA-GROUP COMPARISONS OF OBJECTIVE PARAMETERS BEFORE AND AFTER OPERATIONS:** Objective examination results were missing for 2 eyes from group A and 1 eye from group C at 3 months and at >2 years postsurgery, and in 1 eye from group B at >2 years postsurgery. The objective parameters were analyzed for 31 eyes in group A, 20 eyes in group B, and 17 eyes in group C at the 3-month follow-up, and for 31 eyes in group A, 19 eyes in group B, and 17 eyes in group C at the >2 -year follow-up.

For group A and C, the results of the ST, TBUT, and FL were all significantly improved at the 3-month follow-up and the long-term follow-up compared with the preoperative values (all $P < .01$) (Table 2). In contrast, the results of the ST, FL but not TBUT, significantly improved at both follow-ups in group B (both $P < .05$). The BCVA was significantly improved in group A at the long-term follow-up ($P < .05$) (Table 2).

- **BETWEEN-GROUP COMPARISONS OF OBJECTIVE PARAMETERS BEFORE AND AFTER OPERATIONS:** To exclude the possible impact of objective baseline parameters on the follow-up results, changes in objective parameters before and after operations were used in between-group comparisons. A linear mixed-effects model was used. The results

TABLE 2. Mean Levels of Objective Clinical Parameters for the 3 Study Groups at Baseline and Follow-up

Objective Parameter	Time Points		
	Preoperative(71 Eyes)	3-mo Postoperative(68 Eyes)	Long-Term (>2- year) Follow-up(67 Eyes)
Schirmer test (mm per 5 min)			
Group A	0.36 ± 0.65	20.29 ± 9.41**	20.23 ± 7.31**
Group B	0.55 ± 1.05	3.30 ± 2.47**	3.79 ± 2.99**
Group C	0.89 ± 1.02	7.71 ± 4.09**	9.35 ± 7.78**
TBUT (s)			
Group A	0.03 ± 0.17	1.58 ± 2.03**	1.74 ± 2.21**
Group B	0.05 ± 0.22	0.10 ± 0.45	0.00 ± 0.00
Group C	3.49 ± 1.36	9.53 ± 5.68**	9.08 ± 6.26**
FL			
Group A	10.97 ± 1.94	7.55 ± 2.23**	7.58 ± 2.36**
Group B	11.10 ± 1.65	10.10 ± 1.77*	9.58 ± 2.17*
Group C	1.83 ± 1.76	0.71 ± 1.16**	0.53 ± 1.33**
BCVA			
Group A	0.11 ± 0.14	0.15 ± 0.15	0.20 ± 0.21**
Group B	0.15 ± 0.24	0.19 ± 0.25	0.20 ± 0.25
Group C	0.81 ± 0.19	0.88 ± 0.14	0.89 ± 0.14

BCVA = best-corrected visual acuity; FL = corneal fluorescein staining; TBUT = tear break-up time.

* $P < .05$, ** $P < .01$, based on paired-samples t test comparing postsurgical time points with presurgical level within each group separately. The mean duration of the long-term follow-up was 3.2, 3.8, and 3.6 years for group A, B, and C, respectively ($P > .10$).

showed that group A had significantly larger improvement in the ST, TBUT, and FL at both the 3-month and long-term follow-up compared with group B (P for time \times group interaction $< .01$) (Figure 3). The longitudinal changes in BCVA did not significantly differ between groups A and B ($P > .05$). Compared with group B, group C had a significantly larger magnitude of improvements in the ST and TBUT (P for time \times group interaction $< .01$), but not in FL and BCVA at both follow-ups (Figure 4).

• **BETWEEN-GROUP COMPARISONS OF PATIENTS QUESTIONNAIRE RESULTS:** Patients' questionnaires were obtained for all of the eyes except for the 2 eyes with unsuccessful transplantation in group A. The questionnaire results were analyzed for 33 eyes in group A, 20 eyes in group B, and 18 eyes in group C at the >2-year follow-up. Groups A and C showed a higher rate of relief of DED symptoms (100% and 83.3%) than did group B (60.0%). Results of logistic regression indicated a significant difference in the subjective relief rate between groups A and B ($P < .001$) but not between groups B and C ($P = .589$) after adjusting for age and sex. Similarly, the overall subjective satisfaction was significantly higher in group A (93.9%) than in group B (70.0%, $P = .032$); no significant difference was detected between groups B and C (83.3%, $P = 0.892$). Two group B patients who did not experience noticeable relief from the DED symptoms expressed satisfaction, considering that they had gotten rid of the symblepharon after the operation.

DISCUSSION

This retrospective cohort study compared the efficacies of SMG transplantation and MSG transplantation treatment in 73 eyes with different refractory DED. In the end-stage DED cases with severe impairment of the eye secondary to cicatrizing conjunctivitis, SMG transplantation showed a very good treatment effect (group A). Abundant lubrication (a postoperative ST value of 20.23 mm per 5 minutes) provided by SMG significantly improved tear film stability and ocular surface, as shown in TBUT, FL, and BCVA examinations, and all patients in this group experienced relief of the DED symptoms. In contrast, in most severe DED cases, MSG transplantation led to lower lubrication (3.79 mm per 5 minutes) in group B. Both TBUT and BCVA showed no improvements, and the relief rate of DED symptoms was only 60%. Compared with group B, patients of group C had less severe DED, which was secondary to non-cicatrizing conjunctivitis, and obtained satisfying treatment effects from MSG transplantation. The ST increased from 0.89 mm per 5 minutes to 9.35 mm per 5 minutes, the TBUT increased from 3.49 s to 9.08 s, the FL score was reduced from 1.83 to 0.53, and 83.3% of patients experienced relief of the symptoms. The between-group comparison further confirmed that SMG transplantation was significantly superior to MSG transplantation for treating end-stage DED secondary to cicatrizing conjunctivitis. In addition, for DED secondary to non-cicatrizing

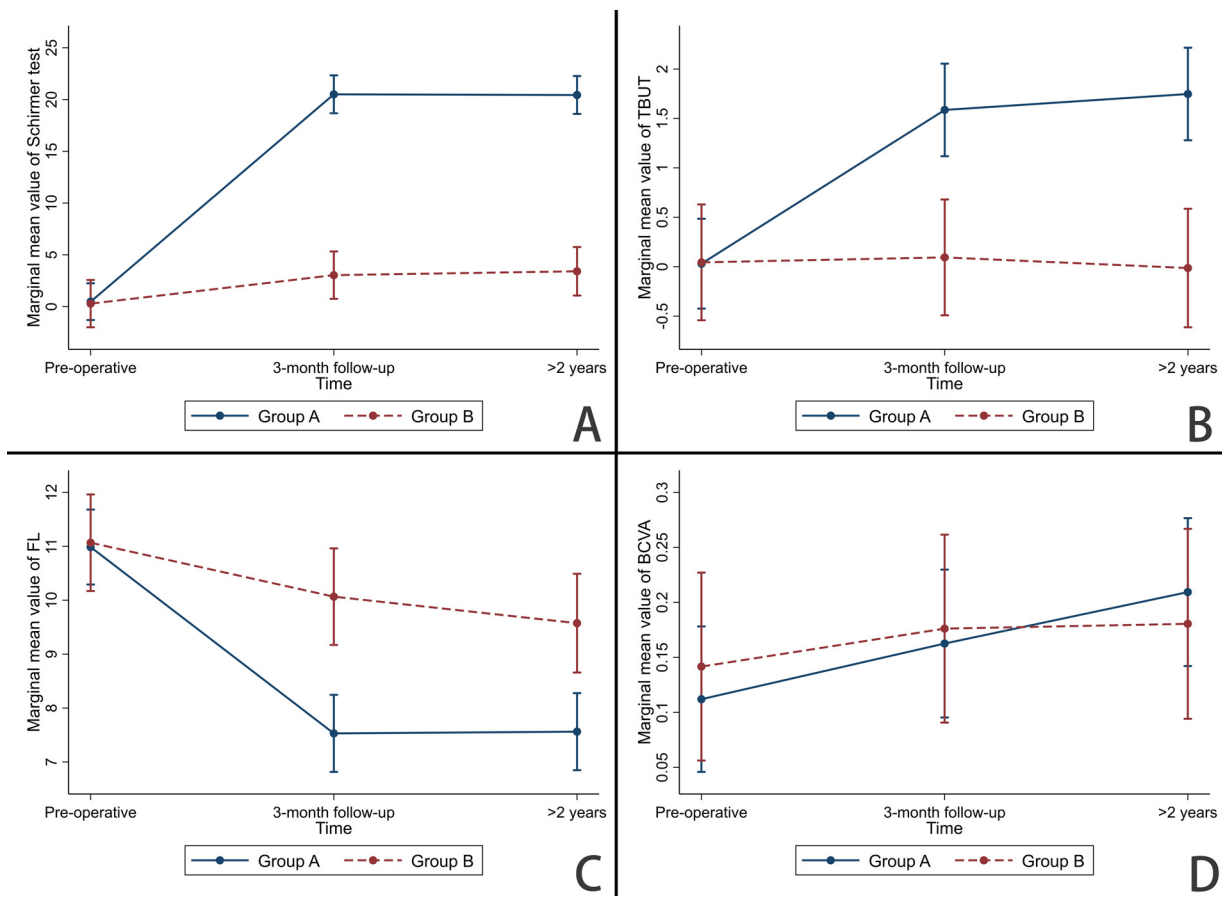


FIGURE 3. Longitudinal changes of objective clinical parameters in group A compared to group B. Group A had significantly larger improvements in the Schirmer test, tear break-up time, and corneal fluorescein staining at 3-month and >2-year follow-up compared with group B ($P < .01$). A: Schirmer test. B: Tear break-up time. C: Corneal fluorescein staining. D: Best-corrected visual acuity.

conjunctivitis with less severe eye impairment, MSG transplantation offered a significantly superior treatment effect compared to that achieved in patients with end-stage DED. These conclusions were also verified by comparing the rates of bilateral operations. Although all patients in the present study had bilateral DED, we insisted that the operation for the other eye be performed at least 6 months after the initial operation. Contralateral surgery provided definite evidence that patients were satisfied with the treatment effect of the initial operation. In the present study, 25% of patients from group A and 28.6% of patients from group C underwent another surgery for the other eye after the initial operation, whereas this was the case with only 1 patient from group B (5.3%).

The treatment costs and complications were also compared among groups. SMG transplantation, which is small organ transplantation that requires vascular anastomosis, showed significantly higher LOS, LOO, and hospital fees compared to MSG transplantation, which is a free tissue graft that does not require any vascular anastomosis. It must be pointed out that only the major economic spending of the patients (hospital fees) was included, whereas other ex-

penses such as travel costs were not included. All MSG transplantations were successful, whereas the SMG transplantation was unsuccessful in 2 eyes. Besides, epiphora occurred in 39.4% of the eyes, thus requiring operation or other management after SMG transplantation, which was consistent with the literature reports.^{7,26} In contrast, except for 1 eye, there were no complications requiring a secondary medical intervention after MSG transplantation. The surgical trauma, risk, and treatment burden should be taken into full consideration before SMG transplantation. Accordingly, we did not perform SMG transplantation for the relatively less severe DED. This is the reason why there were only 3 groups of patients in this study.

Considering both risks and benefits, for refractory DED patients who do not have other treatment options, surgical modalities should be chosen according to the severity of the disease. For the patients with DED secondary to non-cicatrizing conjunctivitis and for those with less severe impairment of the tear film stability and ocular surface (eg, group C), MSG transplantation might be recommended as a first choice. Most patients could benefit from adequate lubrication and substantial improvements with minor treat-

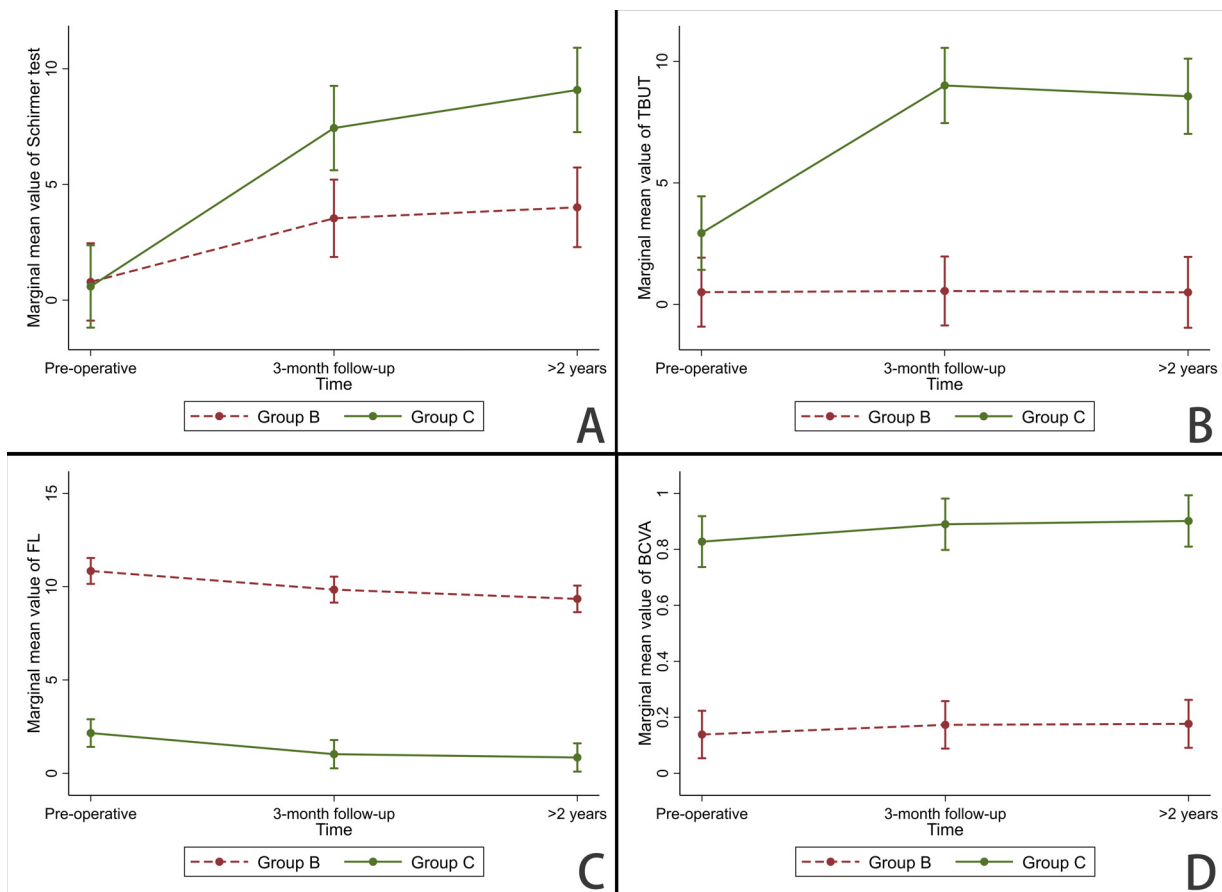


FIGURE 4. Longitudinal changes in objective clinical parameters in group B compared to group C. Group C had significantly larger improvements in the Schirmer test and tear break-up time at the 3-month and >2-year follow-up compared with group B ($P < .01$). **A:** Schirmer test. **B:** Tear break-up time. **C:** Corneal fluorescein staining. **D:** Best-corrected visual acuity.

ment risk and cost. However, for end-stage DED secondary to cicatrizing conjunctivitis (as in groups A and B of this study), the treatment effect of MSG transplantation may be limited (<4 mm per 5 minutes of lubrication and 60% of symptoms relief rate); thus, SMG transplantation might be recommended.

The differences observed in the treatment effect of MSG transplantation on different kinds of DED may be explained as follows. First, most cases of DED in group B were caused by SJS, which could impair not only the lacrimal gland but also the MSG.²⁷ In contrast, adenoviral conjunctivitis does not have an impact on MSG. Our data of donor secretory functions at baseline confirmed the significantly higher secretory flow rate of MSG in group C than in group B. A previous study suggested that the preoperative flow rate of MSG is positively correlated with postoperative lubrication and the treatment effect.²³ Consequently, group C had better treatment results than group B. Second, cicatrizing conjunctivitis such as SJS causes severe scar formation in the affected eye, which is the location of the recipient bed of the free grafted MSG tissues. The poor condition of the recipient bed is likely to be harmful to the survival of the grafted

tissues. At the same time, this pitfall was not found in patients with adenoviral conjunctivitis.

The different treatment effects of SMG transplantation and MSG transplantation on the most severe DED may be explained as follows: as a major salivary gland, SMG has a much stronger secretory function compared with MSG. Besides, SMG transplantation could preserve the function to the greatest extent, considering that blood circulation is rebuilt during operation. Thus, the amount of lubrication after SMG transplantation is much greater than that after MSG transplantation.

Considering that most of the patients had bilateral DED, the proportion of patients undergoing bilateral SMG transplantations was relatively limited, which was consistent with reports from other groups.^{4-7,9-11} We obtained a possible explanation for the cause of this issue, based on our communications with the patients. These patients with end-stage DED experienced a marked decrease in vision, and fears of blindness and disability were the most powerful motivation for accepting the surgery. After the 1-sided operation, the eye vision might be preserved, and the patients can already be protected from becoming blind and disabled.

They might then lose the motivation to accept 1 more instance of organ transplantation surgery. However, further evidence is needed for this explanation.

This study has a few limitations. First, as a retrospective cohort study, grouping of patients was not random; future randomized controlled trials of surgical modalities are warranted to validate our findings. Moreover, only 3 study groups were examined; we did not perform SMG trans-

plantation for the relatively less severe DED. However, a negative correlation between subjective symptoms and objective examination is not rare in DED.²⁸ Patients could have severe pain with only relatively moderate impairment of the eye. It is still unclear whether these patients could benefit from SMG transplantation when other treatments failed, and MSG transplantation does not provide an effective cure.

Funding/Support: This work was supported by the National Natural Science Foundation of China [82170977, 81974151] and PKUSS fund [PKUSSNCT-20A01]. Financial Disclosures: The authors report no financial disclosures or conflicts of interest. All authors attest that they meet the current ICMJE criteria for authorship.

REFERENCES

1. Jones L, Downie LE, Korb D, et al. TFOS DEWS II management and therapy report. *Ocul Surf.* 2017;15(3):575–628.
2. Murube J. Transplantation of salivary gland to the lacrimal basin. *Scand J Rheumatol Suppl.* 1986;61:264–267.
3. Kumar PA, Hickey MJ, Gurusinghe CJ, O'Brien BM. Long term results of submandibular gland transfer for the management of xerophthalmia. *Br J Plast Surg.* 1991;44(7):506–508.
4. MacLeod AM, Robbins SP. Submandibular gland transfer in the correction of dry eye. *Aust N Z J Ophthalmol.* 1992;20(2):99–103.
5. Geerling G, Sieg P, Bastian GO, Laqua H. Transplantation of the autologous submandibular gland for most severe cases of keratoconjunctivitis sicca. *Ophthalmology.* 1998;105(2):327–335.
6. Jia G, Wang Y, Lu L, Wang X, Li Z. Reconstructive lacrimal gland with free submandibular gland transfer for management of xerophthalmia [in Chinese]. *Zhonghua Yan Ke Za Zhi.* 1998;34(5):388–390.
7. Sieg P, Geerling G, Kosmehl H, Lauer I, Warnecke K, von Domarus H. Microvascular submandibular gland transfer for severe cases of keratoconjunctivitis sicca. *Plast Reconstr Surg.* 2000;106(3):554–560 discussion 561–562.
8. Yu GY, Zhu ZH, Mao C, et al. Microvascular autologous submandibular gland transfer in severe cases of keratoconjunctivitis sicca. *Int J Oral Maxillofac Surg.* 2004;33(3):235–239.
9. Paniello RC. Submandibular gland transfer for severe xerophthalmia. *Laryngoscope.* 2007;117(1):40–44.
10. Jacobsen HC, Hakim SG, Lauer I, Dendorfer A, Wedel T, Sieg P. Long-term results of autologous submandibular gland transfer for the surgical treatment of severe keratoconjunctivitis sicca. *J Craniomaxillofac Surg.* 2008;36(4):227–233.
11. Borrelli M, Schroder C, Dart JK, et al. Long-term follow-up after submandibular gland transplantation in severe dry eyes secondary to cicatrizing conjunctivitis. *Am J Ophthalmol.* 2010;150(6):894–904.
12. Su JZ, Zheng B, Liu XJ, et al. Quality of life and patient satisfaction after submandibular gland transplantation in patients with severe dry eye disease. *Ocul Surf.* 2019;17(3):470–475.
13. Zhang L, Su JZ, Cai ZG, et al. Factors influencing the long-term results of autologous microvascular submandibular gland transplantation for severe dry eye disease. *Int J Oral Maxillofac Surg.* 2019;48(1):40–47.
14. Murube J, Manyari A, ChenZhuo L. Labial salivary gland transplantation in severe dry eye. *Oculoplast Orbital Reconstr Surg.* 1998;1:104–110.
15. Guerrissi JO, Belmonte J. Surgical treatment of dry eye syndrome: conjunctival graft of the minor salivary gland. *J Craniofac Surg.* 2004;15(1):6–10.
16. Soares EJ, Franca VP. Transplantation of labial salivary glands for severe dry eye treatment. *Arq Bras Oftalmol.* 2005;68(4):481–489.
17. Geerling G, Raus P, Murube J. Minor salivary gland transplantation. *Dev Ophthalmol.* 2008;41:243–254.
18. Marinho DR, Burmann TG, Kwitko S. Labial salivary gland transplantation for severe dry eye due to chemical burns and Stevens-Johnson syndrome. *Ophthalmic Plast Reconstr Surg.* 2010;26(3):182–184.
19. Sant' Anna AE, Hazarbassanov RM, de Freitas D, Gomes JA. Minor salivary glands and labial mucous membrane graft in the treatment of severe symblepharon and dry eye in patients with Stevens-Johnson syndrome. *Br J Ophthalmol.* 2012;96(2):234–239.
20. Wakamatsu TH, Sant'Anna A, Cristovam PC, Alves VAF, Wakamatsu A, Gomes JAP. Minor salivary gland transplantation for severe dry eyes. *Cornea.* 2017;36:S26–S33.
21. Lemp MA, Baudouin C, Baum J, et al. The definition and classification of dry eye disease: report of the definition and classification subcommittee of the International Dry Eye Workshop (2007). *Ocul Surf.* 2007;5(2):75–92.
22. Chinese Medical Association Ophthalmology Branch (OB). The Keratonosus Group: expert consensus on clinical diagnosis and treatment of dry eye [in Chinese]. *Chin J Ophthalmol.* 2020;56(10):741–747.
23. Su JZ, Wang Z, Liu XJ, Lv L, Yu GY. Use of saliva flow rate measurement in minor salivary glands autotransplantation for treatment of severe dry eye disease. *Br J Ophthalmol.* 2021. doi:10.1136/bjophthalmol-2020-317552.
24. Wang Z, Shen MM, Liu XJ, Si Y, Yu GY. Characteristics of the saliva flow rates of minor salivary glands in healthy people. *Arch Oral Biol.* 2015;60(3):385–392.
25. Su JZ, Liu XJ, Wang Y, et al. Effects of capsaicin and carbachol on secretion from transplanted submandibular glands and prevention of duct obstruction. *Cornea.* 2016;35(4):494–500.
26. Geerling G, Garrett JR, Paterson KL, et al. Innervation and

- secretory function of transplanted human submandibular salivary glands. *Transplantation*. 2008;85(1):135–340.
27. Wang Z, Li W, Hong X, et al. Minor salivary gland function is decreased in hyposalivation-related diseases. *Arch Oral Biol*. 2016;69:63–70.
28. Pflugfelder SC, Geerling G, Kinoshita S, et al. Management and therapy of dry eye disease: report of the Management and Therapy Subcommittee of the International Dry Eye Work-Shop (2007). *Ocul Surf*. 2007;5(2):163–178.